Attorney Docket No.: 15270J-004743US Client Reference No.: 209US-CIP5C3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dale B. Schenk

Application No.: 09/724,319

Filed: November 27, 2000

For: PREVENTION AND TREATMENT

OF AMYLOIDOGENIC DISEASE

12/21/2005 DTESSEM1 00000015 201430 09724319

02 FC:1806

180.00 DA

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

676-678 are enclosed.

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of non U.S. Patent references 580-642 can be found in Application No. 09/322,289, filed May 28, 1999 (Attorney Docket No. 15270J-004740US). Copies of references 648-655, 662-666 and

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Examiner: Lyles

Art Unit: 1649

SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Applicant also cites commonly owned copending applications directed to related subject matter:

```
09/201,430 filed 11/30/98 issued as U.S. 6,787,523 on 09/07/04;
09/497,553 filed 02/03/00;
09/724,477 filed 11/28/00 issued as U.S. 6,787,143 on 09/07/04;
09/723,927 filed 11/28/00 issued as U.S. 6,787,138 on 09/07/04;
09/723,762 filed 11/28/00 issued as U.S. 6,787,144 on 09/07/04;
09/724,102 filed 11/28/00 issued as U.S. 6,787,139 on 09/07/04;
09/724,489 filed 11/28/00 issued as U.S. 6,787,140 on 09/07/04;
10/816,022 filed 03/31/04 issued as U.S. 6,866,850 on 03/15/05;
10/816,529 filed 03/31/04 issued as U.S. 6,818,218 on 11/16/04;
10/815,391 filed 03/31/04 issued as U.S. 6,866,849 on 03/15/05;
10/934,818 filed 09/02/04;
10/934,609 filed 09/02/04 issued as U.S. 6,946,135 on 09/20/2005;
10/933,559 filed 09/02/04 issue fee paid 01/24/05;
10/934,819 filed 09/02/04;
10/815,353 filed 03/31/04 issued as U.S. 6,808,712 on 10/26/04;
10/816,380 filed 03/31/04 issue fee paid 01/24/05;
10/815,404 filed 03/31/04 issue fee paid 11/12/04;
10/884,892 filed 07/01/04 issued as U.S. 6,962,707 on 11/08/05
09/322,289 filed 05/28/99;
09/723,713 filed 11/27/00;
09/723,760 filed 11/27/00;
09/724,319 filed 11/27/00;
09/723,384 filed 11/27/00 issued as U.S. 6,710,226 on 03/23/04;
09/724,495 filed 11/27/00;
10/788,666 filed 02/27/04;
10/429,216 filed 05/30/03;
10/828,548 filed 04/19/04;
10/923,471 filed 08/20/04;
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Application No.: 09/724,319

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10/923,474 filed 08/20/04;
10/928,926 filed 08/27/04;
10/923,605 filed 08/20/04;
10/923,267 filed 08/20/04;
10/923,469 filed 08/20/04;
09/580,015 filed 05/26/00;
09/724,940 filed 11/28/00 issued as U.S. 6,905,686 on 06/14/05;
09/724,961 filed 11/28/00 issued as U.S. 6,743,427 on 06/01/04;
09/580,018 filed 05/26/00 issued as U.S. 6,761,888 on 07/13/04;
09/724,552 filed 11/28/00 issued as U.S. 6,750,324 on 06/15/04;
09/723,544 filed 11/28/00;
09/724,273 filed 11/28/00;
09/724,551 filed 11/28/00 issued as U.S. 6,787,637 on 09/07/04;
09/724,288 filed 11/28/00;
10/777,792 filed 02/11/04;
10/890,024 filed 04/12/04;
10/889,999 filed 04/12/04;
10/890,000 filed 07/12/04;
10/890,070 filed 07/12/04;
10/890,071 filed 07/12/04;
09/580,019 filed 05/26/00;
09/723,765 filed 11/28/00;
09/724,291 filed 11/28/00;
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09/724,567 filed 11/28/00 issued as U.S. 6,890,535 on 05/10/05;
09/724,575 filed 11/28/00;
09/724,953 filed 11/28/00 issued as U.S. 6,875,434 on 04/05/05;
09/724,570 filed 11/28/00 issue fee paid 02/10/05;
09/585,656 filed 06/01/00;
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Dale B. Schenk Application No.: 09/724,319

Page 4

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09/723,766 filed 11/27/00;

09/723,725 filed 11/27/00;

09/579,690 filed 05/26/00;

09/979,701 filed 03/13/01 (U.S. Nat'l Stage of PCT/US00/14810 filed 05/26/00);

09/979,952 filed 04/09/02 (U.S. Nat'l Stage of PCT/US00/15239 filed 06/01/00);

issued as U.S. 6,913,745 on 07/05/05; and,
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09/980,568 filed 03/12/02 (U.S. Nat'l Stage of PCT/US00/15302 filed 06/01/00).

Applicant also cites the following copending applications directed to related subject matter but subject to different assignment:

```
10/010,942 filed 12/06/01;
10/789,273 filed 02/27/04;
10/232,030 filed 08/30/02;
10/010,942 filed 12/06/01;
10/388,389 filed 03/12/03;
10/703,713 filed 11/07/03;
10/704,070 filed 11/07/03;
10/388,214 filed 03/12/03;
10/858,855 filed 06/01/04;
10/771,174 filed 02/04/04.
60/622,525 filed 10/26/04;
60/636,684 filed 12/15/04;
60/636,842 filed 12/15/04;
60/637,253 filed 12/16/04;
60/636,810 filed 12/15/04;
60/637,138 filed 12/16/04;
60/636,776 filed 12/15/04;
60/636,687 filed 12/15/04.
```

Applicant further cites the following commonly owned abandoned applications directed to related subject matter:

Dale B. Schenk

Application No.: 09/724,319

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60/067,740 filed 12/02/97;
60/080,970 filed 04/07/98;
60/067,219 filed 12/03/97;
60/079,697 filed 03/27/98;
09/204,838 filed 12/03/98;
09/724,921 filed 11/28/00;
09/724,929 filed 11/28/00;
60/137,010 filed 06/01/99;
60/251,892 filed 12/06/00;
60/137,047 filed 06/01/99;
60/136,655 filed 05/28/99; and
09/723,544 filed 11/28/00.
```

Applicant also cites the following abandoned application directed to related subject matter but subject to different assignment:

```
60/251,892 filed 12/06/00;
60/474,654 filed 05/30/03;
60/530,481 filed 12/17/03;
60/444,150 filed 02/01/03; and,
60/363,751 filed 03/12/02.
```

Applicant points out that the following applications are now commonly assigned but were previously subject to different assignment than the present application:

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60/067,219 filed 12/03/97;
60/079,697 filed 03/27/98;
09/204,838 filed 12/03/98;
09/724,921 filed 11/28/00; and,
09/724,929 filed 11/28/00.
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Applicant understands the Examiner can access papers from the prosecution of the cited cases electronically via PALM. However, if the Examiner has difficulty obtaining

Dale B. Schenk

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papers from that source he or she is invited to call the undersigned who will be happy to supply them.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance. Please charge the IDS fee of \$180 to Deposit Account No. 20-1430.

Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Joe Liebeschuetz Reg. No. 37,505

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

JOL:crf

60660049 v1

Approved for use through 10/31/2002. OMB 0651-0031

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Sheet

ÍNFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known			
Application Number	09/724,319		
Filing Date	November 27, 2000		
First Named Inventor	Schenk, D. B.		
Art Unit	1649		
Examiner Name	Lyles		
Attorney Docket Number	15270J-004743US		

	U.S. PATENT DOCUMENTS				
Examiner	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	679	2005/0255122 A1	11-17-2005	Schenk	
	680	2005/0249727 A1	11-10-2005	Schenk	
	674	6,962,707	11-08-2005	Schenk	
	656	6,946,135	09-20-2005	Schenk	
	657	6,913,745	07-05-2005	Schenk	
	658	6,905,686	06-14-2005	Schenk	
	659	6,890,535	05-10-2005	Schenk	
	661	2005/0009150 A1	01-13-2005	Basi et al.	
	583	2004/0265919 A1	12-30-2004	Vanderstichlele et al.	
_	581	2004/0247612 A1	12-09-2004	Wang	
	582	2004/0241164 A1	12-02-2004	Bales et al.	
	673	6,808,712	10-26-2004	Schenk	
	667	6,787,523	09-07-2004	Schenk	
	670	6,787,144	09-07-2004	Schenk	
	668	6,787,143	09-07-2004	Schenk	
	672	6,787,140	09-07-2004	Schenk	
	669	6,787,138	09-07-2004	Schenk	
	671	6,787,129	09-07-2004	Schenk	· · · · · · · · · · · · · · · · · · ·
	660	2004/0171815 A1	09-02-2004	Schenk et al.	
	642	5,702,906	12-30-1997	Rosenthal	
	675	6,710,226	02-23-2004	Schenk	
	643	5,693,762	12-02-1997	Queen et al.	
	644	5,618,920	04-08-1997	Robinson et al.	
	584	5,589,154	12-31-1996	Anderson	
	619	5,530,101	06-25-1996	Queen et al.	
	645	5,258,498	11-02-1993	Huston et al.	
	646	4,816,567	03-28-2989	Cabilly et al.	
	647	4,816,397	03-28-1989	Boss et al.	
			<u> </u>		

Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231 60659974



¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 09/724,319

Filing Date November 27, 2000

First Named Inventor Schenk, D. B.

Art Unit 1649

Examiner Name Lyles

(use as many sheets as necessary)

 Sheet
 2
 of
 7
 Attorney Docket Number
 15270J-004743US

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Fore	eign Patent Docume	ent Kind Code ^s (<i>if</i> known)	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т°
	585	wo	04/080419	A2	09-23-2004			
	586	wo	03/077858	A2, A3	09-25-2003			
-	633	wo	96/08665	A2	03-21-1996			
	630	wo	95/17085	A1	06-29-1995			
	648	wo	91/09967	A1	07-11-1991			
	587	JP	7-165799	Α	06-27-1995			abst only
	632	JP	07-132033	Α	05-23-1995			abs ^o
	631	JP	62-267297	Α	11-19-1987			absi only
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¹ **Applicant's** unique citation designation number (**optional**). ² Kind Codes of U.S. Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)

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Substitute for form 1449B/PTO Complete if Known 09/724,319 **Application Number** INFORMATION DISCLOSURE November 27, 2000 Filing Date STATEMENT BY APPLICANT Schenk, D. B. **First Named Inventor** 1649 Art Unit (use as many sheets as necessary) Lyles **Examiner Name** 15270J-004743US Attorney Docket Number Sheet

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	663	ALBERTS et al., eds. Molecular Biology of The Cell, Third Edition, chapter 23, pages 1208-1209 (1994).	
	649	AMIT et al., "Three-Dimensional Structure of an Antigen-Antibody Complex at 2.8 Å Resolution," Science, 233:747-753 (1986).	
	588	ANDERSON, J. P., "Exact cleavage site of Alzheimer amyloid precursor in neuronal PC-12 cells," Neuroscience Letters, 128(1):126-128 (1991).	
	589	ANDERSON, M. W., "Amending the amyloid hypothesis," The Scientist, 18(20):28-29 (2004).	
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	596	DODEL et al., "Immunotherapy for Alzheimer's disease," <u>Lancet Neurol.</u> , 2(4):215-220 (2003).	
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Piling Date
Schenk, D. B.

Art Unit

Index persons are required to respond to a collection of information unless it contains a valid OMB control number

Complete if Known

Application Number

09/724,319

Filing Date

November 27, 2000

First Named Inventor

Schenk, D. B.

Art Unit

1649

 (use as many sheets as necessary)
 Examiner Name
 Lyles

 Sheet
 4
 of
 7
 Attorney Docket Number
 15270J-004743US

	<i>[</i> ·
598	DUFF et al., "Increased amyloid-β42(43) in brains of mice expressing mutant presenilin 1," Nature, 383(6602):710-713 (1996).
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650	FOOTE et al., "Antibody Framework Residues Affecting the Conformation of the Hypervariable Loops," J. Mol. Biol., 224:487-499 (1992).
601	FRENKEL et al., "Reduction of β-amyloid plaques in brain of transgenic mouse model of Alzheimer's disease by EFRH-phage immunization," <u>Vaccine</u> , 21(11-12):1060-1065 (2003).
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603	GELINAS et al., "Immunotherapy for Alzheimer's disease," PNAS, 101(suppl. 2):14657-14662 (2004).
634	Genbank Accession number AAB48800, "Anti-DNA immunoglobulin light chain IgG [Mus musculus]," 09/14/01.
635	Genbank Accession number CAA46659, "IgE antibody light chain(VJ)," 06/15/93.
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637	Genbank Accession number AAD26773, "Immunoglobulin heavy chain VH3609-JH3 region [Mus musculus]," 04/22/99.
604	GONG et al., "Alzheimer's disease-affected brain: presence of oligomeric Aß ligands (ADDLs) suggests a molecular basis for reversible memory loss," PNAS, 100(18):10417-10422 (2003).
605	GREENBERG et al., "Alzheimer disease's double-edged vaccine," Nat. Med., 9(4):389-390 (2003).
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607	HOCK et al., "Generation of antibodies specific for β-amyloid by vaccination of patients with Alzheimer disease," Nat. Med., 8(11):1270-1275 (2002).

Examiner		Date
Signature		Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

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THEORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 09/724,319

Filing Date November 27, 2000

First Named Inventor Schenk, D. B.

Art Unit 1649

Examiner Name Lyles

(use as many sheets as necessary)

form 1449B/PTO

Sheet 5 of 7 Attorney Docket Number 15270J-004743US

662	IDA et al., "Analysis of Heterogeneous βA4 Peptides in Juman Cerebrospinal Fluid and Blood by a Newly Developed Sensitive Western Blot Assay," <u>J. Biol. Chem.</u> , 271(37):22908-22914 (1996).
608	IRIZARRY et al., "Alzheimer disease therapeutics," <u>J. Neuropathol. Exp. Neurol.</u> , 60(10):923-928 (2001).
609	JANUS et al., "Transgenic mouse models of Alzheimer's Disease," Physiol. Behav., 73(5):873-886 (2001).
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665	LO et al., "High level expression and secretion of Fc-X fusion proteins in mammalian cells," <u>Protein Engineering</u> , 11(6):495-500 (1998).
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Application Number 09/724,319

Filing Date November 27, 2000

First Named Inventor Schenk, D. B.

Art Unit 1649

Examiner Name Lyles

Attorney Docket Number 15270J-004743US

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Filing Date	November 27, 2000	
First Named Inventor	Schenk, D. B.	
Art Unit	1649	
Examiner Name	Lyles	
Attornov Docket Number	15270 1-004743118	7

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